UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	· FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/701,705	12/01/2000	Yukihiko Okumura	15689.61	7195
7590 01/16/2007 ADRIAN J. LEE WORKMAN, NYDEGGER & SEELEY 1000 EAST GATE TOWER 60 EAST SOUTH TEMPLE SALT LAKE CITY, UT 84111			EXAMINER	
			GHULAMALI, QUTBUDDIN	
			ART UNIT	PAPER NUMBER
			2611	
		LAND DEPT		
SHORTENED STATUTOR	RY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE	
3 MONTHS		01/16/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

SV
v

	Application No.	Applicant(s)			
	09/701,705	OKUMURA ET AL.			
Office Action Summary	Examiner	Art Unit			
	Qutub Ghulamali	2611			
The MAILING DATE of this communication app Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim will apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONEL	l. ely filed the mailing date of this communication. O (35 U.S.C. § 133).			
Status ·					
1) Responsive to communication(s) filed on 13 Oc	ctober 2006.				
2a)⊠ This action is FINAL . 2b)☐ This	, — · · · · · · · · · · · · · · · · · ·				
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	3 O.G. 213.			
Disposition of Claims		•			
4) ☐ Claim(s) <u>1,2,4-8,18-20,23,33-37,47,49,51 and</u> 4a) Of the above claim(s) is/are withdraw 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) <u>7,51,69,78 and 80-84</u> is/are rejected. 7) ☐ Claim(s) <u>1,2,4,8 and 79</u> is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or	vn from consideration.	eation.			
Application Papers					
9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) accomplicated any not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Examine	epted or b) objected to by the Eddrawing(s) be held in abeyance. See ion is required if the drawing(s) is obj	e 37 CFR 1.85(a). lected to. See 37 CFR 1.121(d).			
Priority under 35 U.S.C. § 119		•			
 12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of: 1. Certified copies of the priority documents 2. Certified copies of the priority documents 3. Copies of the certified copies of the priority application from the International Bureau * See the attached detailed Office action for a list 	s have been received. s have been received in Applicati rity documents have been receive u (PCT Rule 17.2(a)).	on No ed in this National Stage			
Attachment(s) 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Summary Paper No(s)/Mail Do	ate			
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	5) Notice of Informal P	atent Application			

Application/Control Number: 09/701,705 Page 2

Art Unit: 2611

DETAILED ACTION

Response to Amendment

1. The *declaration* filed on 10/13/2006 under 37 CFR 1.131 is sufficient to overcome the Abeta reference.

2.

Claim Objections

3. Claims 1, 4, 8, and 69 objected to because of the following informalities:

Claim 1, line 9, shouldn't "leading" be replaced by "leaning"?.

Claims 4, and 8, lines 12 and 2, respectively, "to be" needs to be deleted.

Claim 69, line 6, shouldn't "N sets of the data sequences" be replaced by "N sets of the weight sequences"?

Appropriate corrections are required.

Claim Rejections - 35 USC § 112

- 4. The following is a quotation of the second paragraph of 35 U.S.C. 112:
 - The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 5. Claim 69 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 69 recites the limitation "the pilot signals" in line 3. There is insufficient antecedent basis for this limitation in the claim.

Application/Control Number: 09/701,705

Art Unit: 2611

Claims 80, 83 recite the limitation "the data channel" in line 3. There is insufficient antecedent basis for this limitation in the claims.

Claim Rejections - 35 USC § 101

6. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

7. Claims 7, 51 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. The claim 1, recites "wherein a transmission rate of said data channel differs from a transmission rate of said control channel", has no practical application when taken as a whole with other claim limitations. It fails to lead to a concrete and tangible result when combined with other limitations of the claim. Further, claims 7 and 51 recite limitations which is nothing else than data fetching and calculating a channel estimation value in a channel. A claim must fall into one of the § 101 classes, namely; process, machine, composition of matter and manufactures. A claim for calculating channel estimation is clearly not a process under § 101 because it does not culminate or lead into a concrete and tangible result. The "Interim Guidelines for examination of Patent Applications for Patent subject matter Eligibility" provides that for claims including such excluded matter to be eligible, the claim must be for a practical application and fall within the statutory classes exemplified. The claim fails to show that it meets the statutory classes of § 101. Hence it is concluded that the claims are nonstatutory.

Application/Control Number: 09/701,705 Page 4

Art Unit: 2611

Claim Rejections - 35 USC § 103

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 9. Claim 7, is rejected under 35 U.S.C. 103(a) as being unpatentable over Seki et al (USP 6,304,624).

Regarding claim 7, Seki discloses a method of estimating a channel comprising: averaging pilot symbols using weighting factors and calculating a channel estimation value of data symbols of data channel (col. 2, lines 20-43). Seki though discloses generating weighting factors for weighting and averaging pilot symbols being multiplexed in a control channel (I channel and the Q Channel) that was parallel multiplexed together with a data channel (pilot and data are concurrently transmitted) does not explicitly disclose time multiplexing (col. 4, lines 20-27, 58-60). The art of frequency or time multiplexed pilot channel is well known in the art of communication such as DS-CDMA rake receivers and therefore, one skilled in the art at the time of invention was made to utilize time multiplexing in channel estimation because it can minimize propagation effects.

The limitation "wherein a transmission rate of said data channel differs from a transmission rate of said control channel", has no practical application in context of other recited claim limitations and therefore, not treated on merits.

Application/Control Number: 09/701,705

Art Unit: 2611

10. Claims 51, 80-84 are rejected under 35 U.S.C. 103(a) as being unpatentable over Seki et al (USP 6,304,624) in view of Dobrica (USP 6,070,086).

As to claims 51, 80-84, Seki discloses a method of estimating a channel comprising: averaging pilot symbols using weighting factors and calculating a channel estimation value of data symbols of data channel (col. 2, lines 20-43). Seki though discloses generating weighting factors for weighting and averaging pilot symbols being multiplexed in a control channel (I channel and the Q Channel) that was parallel multiplexed together with a data channel (pilot and data are concurrently transmitted) does not explicitly disclose time multiplexing (col. 4, lines 20-27, 58-60). The art of frequency or time multiplexed pilot channel is well known in the art of communication such as DS-CDMA rake receivers and therefore, one skilled in the art at the time of invention was made to utilize time multiplexing in channel estimation because it can minimize propagation effects.

Even though Seki discloses all limitations of the claim above, fail to disclose dividing the data symbols of data channel into a plurality of data symbol sections each of which includes a plurality of data symbols, selecting for each data symbols section pilot symbols appropriate for calculating the channel estimation value. Dobrica, however, discloses dividing the data symbols of data channel into a plurality of data symbol sections each of which includes a plurality of data symbols, selecting for each data symbols section pilot symbols appropriate for calculating the channel estimation value (col. 3, lines 45-67; col. 4, lines 1-17). It would have been obvious to a person of skill in the art at the time of invention to use dividing the data symbols of data channel into a

Art Unit: 2611

plurality of data symbol sections each of which includes a plurality of data symbols, selecting for each data symbols section pilot symbols appropriate for calculating the channel estimation value as taught by Dobrica in the system of Seki because it can provide improved power control error performance and improved Eblo measurements.

Claim Rejections - 35 USC § 102

11. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

12. Claim 78 is rejected under 35 U.S.C. 102(e) as being anticipated by Seki et al (USP 6,304,624).

Regarding claim 78, Seki discloses a demodulation and channel estimation method comprising:

Art Unit: 2611

weighting and averaging pilot signals using a plurality of weight sequences to obtain a plurality of channel estimation values (col. 1, lines 45-55; col. 2, lines 19-43; col. 16, lines 51-65);

deriving a plurality of demodulated data sequences from a data sequence using said plurality of channel estimation values (col. 4, lines 33-44; col. 14, lines 26-32); and selecting one output data sequence by making judgment of reliability of said plurality of demodulated data (judgment of reliability is based on accurate estimation and final determination circuit 11 where data symbol is output to decoder 12) (fig. 15, elements 4, 9, 11; col. 14, lines 26-32, 47-53).

Allowable Subject Matter

- 13. Claims 5, 6, 8, 18-20, 22, 23, 33-37, 47, 49, 53-68, 70-77, 85 allowed.
- 14. Claims 1, 2, 4 and 8 would be allowable if rewritten or amended to overcome the claim objection(s), set forth in this office action.
- 15. Claim 69 would be allowable if rewritten or amended to overcome the rejection(s) under 35 U.S.C. 112, 2nd paragraph, and the claim objection set forth in this Office action.
- 16. Claim 79 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Application/Control Number: 09/701,705 Page 8

Art Unit: 2611

Conclusion

17. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Qutub Ghulamali whose telephone number is (571) 272-3014. The examiner can normally be reached on Monday-Friday, 7:00AM - 4:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mohammad Ghayour can be reached on (571) 272-3021. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

QG. January 5, 2007.

MOHAMMED SHAYOUR